

# TruOps Success Story: Global Transit Company Puts SOX Compliance on the Right Track

## CaseStudy

### The Client

The client, a global leader in the freight rail and passenger transit industry, provides innovative products and services that help its customers meet their needs for safety, productivity, and efficiency. The company has more than 27,000 employees worldwide, operations in over 50 countries, and sales of approximately \$8 billion.

## The Challenges

After the Fortune 500 company completed an internal review of its SOX processes, it was determined that the current framework was lacking and could potentially put the organization at risk. The company had been relying on a hybrid of manual and automated processes that used two different tools to conduct SOX assessments. This approach created information silos and made it difficult to track delays and leakages

efficiently. Because it used spreadsheets to manually calculate assessment responses, the compliance team did not have access to dashboards and reporting functionality that could facilitate SOX workflow visibility and increase productivity. The freight rail and passenger transit company realized it had an immediate need for a technology solution that would update and streamline its SOX compliance workflows.

*“The TruOps team understood our SOX processes quickly and, as a result, we were able to successfully launch a much smoother SOX cycle. Deploying TruOps’ Compliance Management and Issues and Exception Management solutions has enabled us to improve and streamline our risk management workflow.”*

- TruOps Freight Rail and Passenger Transit Client

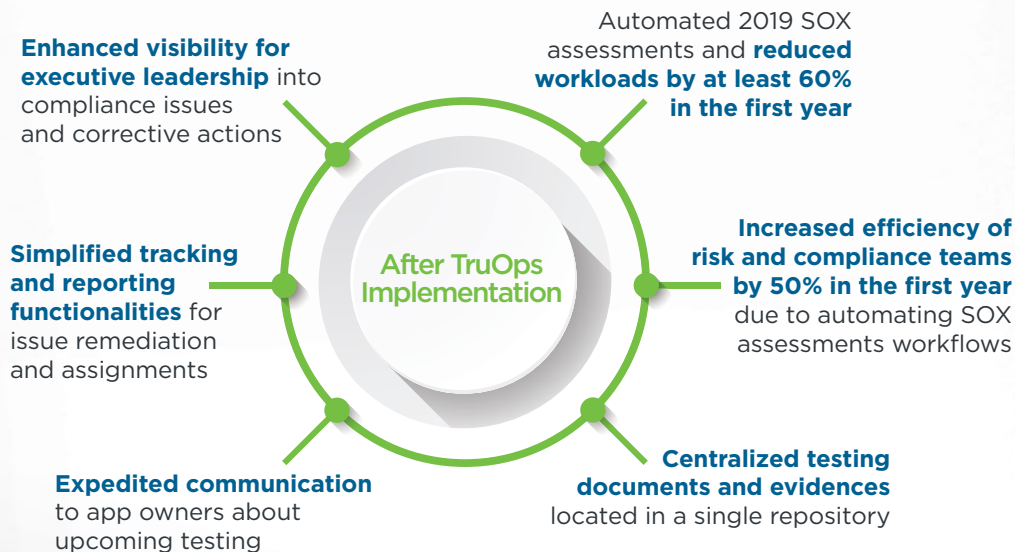
In preparation for 2019 SOX assessments, the client brought in TruOps to evaluate its current processes. TruOps met with the compliance team to gain an in-depth understanding of the organization's SOX strategy, as well as to become familiar with legacy technologies and processes. TruOps recommended the freight rail and passenger transit company implement specific GRC technology tools that would enable the company to simplify and automate SOX workflows. The client deployed two powerful standalone components from TruOps suite of risk management solutions: 1) the Compliance Management module and 2) the Issues and Exception Management (IEM) module.

**As a result of its partnership with TruOps, the client has been able to:**



## The Benefits

After deploying the TruOps Compliance Management and Issues and Exception Management modules, the client has realized the following benefits:



TruOps has helped many clients to integrate and automate risk management processes in alignment with their business requirements, and we can help you, too. To learn more, visit [www.truops.com](http://www.truops.com).